# THE VALUATION OF POLYNOMIAL SEQUENCES

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ABSTRACT. For a prime p and an integer x, the p-adic valuation of x is denoted by  $\nu_p(x)$ . For a polynomial Q with integer coefficients, the sequence of valuations  $\nu_p(Q(n))$  is shown to be either periodic or unbounded. The first case corresponds to the situation where Q has no roots in the ring of p-adic integers. In the periodic situation, the exact period is determined.

### 1. INTRODUCTION

For p prime and  $n \in \mathbb{N}$ , the highest power of p that divides n is called the *p*-adic valuation of n. This is denoted by  $\nu_p(n)$ . Given a function  $f : \mathbb{N} \to \mathbb{N}$ , the study of sequences  $\nu_p(f(n))$  goes back to at least Legendre [16], who established the classical formula

(1.1) 
$$\nu_p(n!) = \sum_{k=1}^{\infty} \left\lfloor \frac{n}{p^k} \right\rfloor = \frac{n - s_p(n)}{p - 1}$$

where  $s_p(n)$  is the sum of the digits of n in base p.

The work presented here forms part of a general project to analyze the set

$$V_x = \{\nu_p(x_n) : n \in \mathbb{N}\}$$

for given sequence  $x = \{x_n\}$ . Examples of such sequences include the Stirling numbers S(n,k) [3, 6], sequences satisfying first order recurrences [4], the Fibonacci numbers [17], the ASM (alternating sign matrices) numbers [7, 21], coefficients of a polynomial connected to a quartic integral [2, 8, 18, 22]. Other results of this type appear in [1, 11, 12, 13, 20].

Consider the sequence of valuations

(1.2) 
$$V_p(Q) = \{\nu_p(Q(n)) : n \in \mathbb{N}\},\$$

for a prime p and a polynomial  $Q \in \mathbb{Z}[x]$ . The polynomial Q is assumed to be irreducible over  $\mathbb{Z}$ , otherwise the identity

(1.3) 
$$V_p(Q_1Q_2) = V_p(Q_1) + V_p(Q_2)$$

can be used to express  $V_p(Q)$  in terms of its irreducible factors. The main result established here is that  $V_p(Q)$  is either periodic or unbounded. In the

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case of a periodic sequence, the period is explicitly determined. The special case of quadratic polynomials is discussed in detail.

The analysis includes the *p*-adic numbers  $\mathbb{Q}_p$  and the ring of integers  $\mathbb{Z}_p$ . Recall that each  $x \in \mathbb{Q}_p$  can be expressed in the form

(1.4) 
$$x = \sum_{k=k_0}^{\infty} c_k p^k$$

with  $0 \leq c_k < p$  and  $c_{k_0} \neq 0$ .

The *p*-adic integers  $\mathbb{Z}_p$  correspond to the case  $k_0 \geq 0$  and invertible elements in this ring have  $k_0 = 0$ . This set is denoted by  $\mathbb{Z}_p^{\times}$ . The *p*-adic valuation of  $x \in \mathbb{Q}_p$  is defined by  $|x|_p = p^{-k_0}$ . In particular,  $x \in \mathbb{Z}_p^{\times}$  is equivalent to  $x \in \mathbb{Z}_p$  and  $|x|_p = 1$ .

The determination of the set  $V_p(Q)$  will require to examine the irreducibility of Q in  $\mathbb{Z}_p[x]$ . Some classical criteria are stated below.

**Theorem 1.1** (Eisenstein criteria). Let  $f(x) = a_n x^n + \dots + a_1 x + a_0 \in \mathbb{Z}_p[x]$ . Assume

a)  $\nu_p(a_n) = 0$ , b)  $\nu_p(a_j) > 0$  for  $0 \le j < n$ , c)  $\nu_p(a_0) = 1$ . Then f is irreducible over  $\mathbb{Z}_p[x]$ .

**Theorem 1.2** (Hensel lemma, polynomial version). Let  $f \in \mathbb{Z}_p[x]$  and assume there are non-constant polynomials  $g_1, h_1 \in \mathbb{Z}_p[x]$ , such that a)  $g_1$  is monic,

b)  $g_1$  and  $h_1$  are coprime modulo p and c)  $f_1(x) \equiv g_1(x)h_1(x) \mod p$ . Then f is reducible in  $\mathbb{Z}_p[x]$ .

**Theorem 1.3** (Dumas Irreducibility Criterion [14]). Let  $f \in \mathbb{Z}_p[x]$  be given by

(1.5) 
$$f(x) = a_0 x^n + a_1 x^{n-1} + \dots + a_{n-1} x + a_n.$$

Suppose that

(1) 
$$\nu_p(a_0) = 0$$
,  
(2)  $\nu_p(a_i)/i > \nu_p(a_n)/n$  for  $1 \le i \le n-1$  and  
(3)  $gcd(\nu_p(a_n), n) = 1$ .

Then f is irreducible over  $\mathbb{Z}_p[x]$ .

## 2. Boundedness of the set $V_p(Q)$ .

This section characterizes the boundedness of the set  $V_p(Q)$ , in terms of the existence of zeros of the polynomial Q in the ring of p-adic integers  $\mathbb{Z}_p$ . Bell [5] showed that  $V_p(Q)$  is periodic and gave a bound for the minimal period. **Theorem 2.1.** Let p be a prime and  $Q \in \mathbb{Z}[x]$ . Then  $V_p(Q)$  is either periodic or unbounded. Moreover,  $V_p(Q)$  is periodic if and only if Q has no zeros in  $\mathbb{Z}_p$ . In the periodic case, the minimal period is a power of p.

*Proof.* Assume that Q has no zeros in  $\mathbb{Z}_p$ . If  $V_p(Q)$  is not bounded there exists a sequence  $n_j \to \infty$  such that  $\nu_p(Q(n_j)) \to \infty$ . The compactness of  $\mathbb{Z}_p$  (see [19]) gives a subsequence converging to  $n_\infty \in \mathbb{Z}_p$ . Then  $Q(n_\infty)$  is divisible by arbitrary large powers of p, thus  $Q(n_\infty) = 0$ . This contradiction shows  $V_p(Q)$  is bounded. In order to show  $V_p(Q)$  is periodic, define

(2.1) 
$$d = \sup\left\{k : p^k \text{ divides } Q(n) \text{ for some } n \in \mathbb{Z}\right\}.$$

Then  $d \ge 0$  and

(2.2) 
$$Q(n+p^{d+1}) = Q(n) + Q'(n)p^{d+1} + O(p^{d+2}).$$

Since  $\nu_p(Q(n)) \leq d$ , it follows that

(2.3) 
$$\nu_p\left(Q(n+p^{d+1})\right) = \nu_p(Q(n)),$$

proving that  $\nu_p(Q(n))$  is periodic. The minimal period is a divisor of  $p^{d+1}$ , thus a power of the prime p..

On the other hand, if Q has a zero  $x = \alpha$  in  $\mathbb{Z}_p$ ,

(2.4) 
$$Q(x) = (x - \alpha)Q_1(x), \text{ with } Q_1 \in \mathbb{Z}_p[x]$$

Then  $\nu_p(Q(n)) \ge \nu_p(n-\alpha)$ , and  $V_p(Q)$  is unbounded.

#### 3. Hensel's Lemma

The criteria developed in Section 2 converts the boundedness of  $V_Q$  to the existence of zeros of Q in the ring of p-adic integers  $\mathbb{Z}_p$ . The most basic analysis of this question involves Hensel's lemma. In the form used here, it states that a simple root of a polynomial modulo p, has a unique lifting to a root in  $\mathbb{Z}_p$ .

**Theorem 3.1.** If  $f \in \mathbb{Z}[x]$  and  $a \in \mathbb{Z}_p$  satisfies

(3.1) 
$$f(a) \equiv 0 \mod p \text{ and } f'(a) \not\equiv 0 \mod p$$

then there is a unique  $\alpha \in \mathbb{Z}_p$  such that  $f(\alpha) = 0$  and  $\alpha \equiv a \mod p$ .

The idea of the proof is simple: if  $\alpha = c_0 + c_1 p + c_2 p^2 + \cdots$  is a root of f(x) = 0 in  $\mathbb{Z}_p$ , it follows that  $f(\alpha) \equiv 0 \mod p$ . Write  $\alpha = c_0 + tp$  and observe that

(3.2) 
$$f(\alpha) = f(c_0 + pt) = f(c_0) + f'(c_0)pt + O(p^2).$$

Therefore  $f(c_0) \equiv 0 \mod p$  is a necessary condition for  $\alpha \in \mathbb{Z}_p$  to be a root of f. Now (3.2) yields

(3.3) 
$$f'(c_0)t \equiv -\frac{f(c_0)}{p} \mod p.$$

The assumption  $f'(c_0) \not\equiv 0 \mod p$  guarantees the existence of a unique solution  $t = c_1$  with  $0 \leq c_1 < p$ . The construction of the coefficients  $c_i$  in the expansion of the root  $\alpha$  proceeds inductively, as explained next. Write  $\alpha = c_0 + c_1 p + t p^2$  and expand

(3.4) 
$$f(\alpha) = f(c_0 + c_1p + tp^2) = f(c_0 + c_1p) + f'(c_0 + c_1p)tp^2 + O(p^3)$$

and check that  $f(\alpha) \equiv 0 \mod p^2$  requires

(3.5) 
$$f(c_0 + c_1 p) + f'(c_0 + c_1 p) t p^2 \equiv 0 \mod p^3.$$

The choice of  $c_1$  guarantees  $f(c_0 + c_1 p) \equiv 0 \mod p^2$  and then  $f'(c_0 + c_1 p) \equiv f'(c_0) \mod p$ , it follows that (3.5) is equivalent to

(3.6) 
$$f'(c_0)t \equiv -\frac{f(c_0+c_1p)}{p^2} \mod p.$$

This equation has a unique solution  $t = c_2$  with  $0 \le c_2 < p$  and the process can be continued indefinitely. This construction produces a sequence  $\alpha_k = c_0 + c_1 p + \cdots + c_k p^k$  that converges to  $\alpha \in \mathbb{Z}_p$  that solves  $f(\alpha) = 0$ .

The following extension appears as Lemma 3.1 in [10].

**Proposition 3.2.** Assume  $f \in \mathbb{Z}[x]$  and  $a \in \mathbb{Z}_p$  satisfies

(3.7) 
$$\nu_p(f(a)) > 2\nu_p(f'(a))$$

Then there is  $\alpha \in \mathbb{Z}_p$  with  $\alpha \equiv a \mod p$  and  $f(\alpha) = 0$ .

## 4. Quadratic polynomials and the prime p = 2

Let  $a \in \mathbb{Z}$  and  $Q_a(x) = x^2 - a$ . This section considers the existence of a zero of  $Q_a$  in  $\mathbb{Z}_2$ . In view of Theorem 2.1, this is equivalent to the periodicity of the sequence  $\{\nu_2(n^2-a)\}$ . An elementary proof of Proposition 4.1 appears in [9]. Define c and  $\mu(a)$  by

$$(4.1) a = 4^{\mu(a)}c$$

with  $c \not\equiv 0 \mod 4$ .

**Proposition 4.1.** The polynomial  $Q_a$  has no zeros in  $\mathbb{Z}_2$  if and only if  $c \not\equiv 1 \mod 8$ .

*Proof.* Assume first that  $Q_a$  has no zeros in  $\mathbb{Z}_2$  and  $c \equiv 1 \mod 8$ . If a is odd, then a = c = 1 + 8j with  $j \in \mathbb{Z}$ . Then  $Q_a(1) = 1 - a = -8j$  and

(4.2) 
$$|Q_a(1)|_2 \le \frac{1}{8} \text{ and } |Q'_a(1)|_2 = \frac{1}{2}.$$

Therefore  $|Q_a(1)|_2 < (|Q'_a(1)|_2)^2$  and Proposition 3.2 produces  $\alpha \in \mathbb{Z}_2$  with  $Q_a(\alpha) = 0$ . This is a contradiction.

In the case *a* even, write  $a = 4^i(1+8j)$  with i > 0 and  $i \in \mathbb{Z}$ . The previous case shows the existence of  $\alpha \in \mathbb{Z}_2$  with  $\alpha^2 = (1+8j)$ . Then  $\beta = 2^i \alpha$  satisfies  $Q_2(\beta) = 0$ , yielding a contradiction.

Assume now that  $c \not\equiv 1 \mod 8$ . If a is odd, then a = c and  $a \equiv 3, 5, 7 \mod 8$ . A simple calculation shows that

(4.3) 
$$\nu_2(n^2 - 8i - 3) = \nu_2(n^2 - 8i - 7) = \begin{cases} 1 & \text{if } n \text{ is odd} \\ 0 & \text{if } n \text{ is even} \end{cases}$$

and

(4.4) 
$$\nu_2(n^2 - 8i - 5) = \begin{cases} 2 & \text{if } n \text{ is odd} \\ 0 & \text{if } n \text{ is even} \end{cases}$$

For these values of a, the set  $V_2(Q)$  is bounded. Theorem 2.1 now shows that  $Q_a$  has no zeros in  $\mathbb{Z}_2$ .

If a is even, then it can be written as  $a = 4^j(8i + r)$  with  $j \ge 0$  and r = 2, 3, 5, 6, 7. The excluded case r = 4 can be reduced to one of the residues listed above by consideration of the parity of the index *i*. Now suppose  $Q_a(x)$  has a zero  $\beta \in \mathbb{Z}_2$ ; that is,  $\beta^2 = a = 4^j(8i + r)$ . Then  $\alpha = \beta/2^j \in \mathbb{Z}_2$  satisfies  $\alpha^2 = 8i + r$ . Each of these cases lead to a contradiction. Indeed, if r = 3, 5, 7 the valuations  $\nu_2(n^2 - 8i - r)$  are bounded contradicting Theorem 2.1. In the remaining two cases, the polynomial  $x^2 - 8i - r$  is irreducible over  $\mathbb{Z}_2$  by a direct application of Einsenstein criterion [15, Proposition 5.3.11, p. 156]. Therefore  $Q_a(x)$  has no zeros. This concludes the proof.

The previous result is now restated in terms of periodicity. The explicit form of the period is given in Section 6.

**Theorem 4.2.** Let  $Q(x) = x^2 - a$ . Define c by the relation  $a = 4^{\mu(a)}c$ , with  $c \not\equiv 0 \mod 4$ . Then the set  $V_2(Q)$  is periodic if and only if  $c \not\equiv 1 \mod 8$ .

Combining Theorem 2.1, Proposition 4.1 and the classical result of Lagrange on representations of integers as sums of squares shows that the sequence of valuations  $\{\nu_2(n^2 + b) : n \in \mathbb{N}\}$  is bounded if and only if b cannot be written as a sum of three squares.

### 5. Quadratic polynomials and an odd prime

This section extends the results of Section 4 to the case of odd primes.

**Theorem 5.1.** Let  $p \neq 2$  be a prime, and let  $a \in \mathbb{Z}$  with  $k = \nu_p(a)$ . The sequence  $\nu_p(n^2 - a)$  is periodic if and only if k is odd or  $a/p^k$  is a quadratic nonresidue modulo p. If it is periodic, its period length is  $p^{\lceil k/2 \rceil}$ .

*Proof.* Let  $p \neq 2$ . Hensel's lemma shows that an integer a not divisible by p has a square root in  $\mathbb{Z}_p$  if and only if a is a quadratic residue modulo p. This implies that  $a \in \mathbb{Q}_p$  is a square if and only if it can be written as  $a = p^{2m}u^2$  with  $m \in \mathbb{Z}$  and  $u \in \mathbb{Z}_p^{\times}$  a p-adic unit. Then  $x^2 - a$  has a zero in  $\mathbb{Z}_p$  is equivalent to k being even and  $a/p^k$  being a quadratic residue modulo p. This proves the first part of the theorem.

Now assume that  $\nu_p(n^2 - a)$  is periodic. It is shown that its period is given by  $p^{\lceil k/2 \rceil}$ . Suppose first that k is odd. Let  $k_* = (k+1)/2$  so that  $\lceil k/2 \rceil = k_*$  and

(5.1) 
$$\nu_p((n+p^{k_*})^2-a) = \nu_p(n^2-a+2p^{k_*}n+p^{2k_*}).$$

It is shown that

(5.2) 
$$\nu_p(2p^{k_*}n + p^{2k_*}) > \nu_p(n^2 - a),$$

which implies  $\nu_p((n + p^{k_*})^2 - a) = \nu_p(n^2 - a)$ . Write  $n = p^{\nu_p(n)}n_0$  and  $a = p^{2k_*+1}a_0$ . Finally, let  $\gamma = \min(\nu_p(n), k_*)$ . Then

$$(5.3) \nu_p \left( p^{k_*} (2n + p^{k_*}) \right) \geq k_* + \min(\nu_p(2n), k_*) = k_* + \gamma > k_* + \gamma + \nu_p (p^{2\nu_p(n) - k_* - \gamma} n_0^2 - p^{k_* - 1 - \gamma} a_0) = \nu_p (p^{2\nu_p(n)} n_0^2 - p^{2k_* - 1} a_0) = \nu_p (n^2 - a)$$

since  $0 > \nu_p(p^{2\nu_p(n)-k_*-\gamma}n_0^2 - p^{k_*-1-\gamma}a_0)$ . To justify this last inequality, observe that if  $\nu_p(n) \ge k_*$  then  $2\nu_p(n) - k_* - \gamma = 2(\nu_p(n) - k_*) \ge 0$  and  $k_* - 1 - \gamma = -1 < 0$ , and if  $\nu < k_*$  then  $2\nu - k_* - \gamma = \nu - k_* < 0$  and  $k_* - 1 - \gamma \ge 0$ .

Suppose now that k is even and  $a/p^k$  a quadratic nonresidue. Then, there is  $m \in \mathbb{N}_0$  and  $a_0 \in \mathbb{Z}$  such that  $a = p^{2m}a_0$  with  $a_0$  a quadratic non-residue modulo p. It is now shown that

(5.4) 
$$\nu_p((n+p^m)^2 - a) = \nu_p(n^2 - a)$$

and that  $p^m$  is minimal with this property. If m = 0, then (5.4) becomes  $\nu_p((n+1)^2) = \nu_p(n^2-a)$ . Both sides vanish since a is a quadratic non-residue modulo p. Now, for m > 0, the statement (5.4) becomes

$$(n+p^m)^2 - a = n^2 + 2np^m + p^{2m} - p^{2m}a_0.$$

The proof of (5.4) is divided into cases. In the argument given below, it is assumed that  $gcd(n, n_0) = 1$ .

Case 1: Suppose that  $n = p^{\beta} n_0$  with  $\beta, n_0 \in \mathbb{Z}$  and  $\beta < m$ . Observe that  $\nu_p(n^2 - a) = \nu_p(p^{2\beta} - p^{2m}a_0) = 2\beta$ 

and

$$\nu_p(2p^mn + p^{2m}) = \beta + m > 2\beta.$$

Then  $\nu_p((n+p^m)^2-a) = \nu_p(n^2-a)$  as claimed.

Case 2: Suppose that  $n = p^m n_0$  with  $n_0 \in \mathbb{Z}$ . Note that

$$\nu_p(n^2 - a) = \nu_p(p^{2m}(n_0^2 - a_0)) = 2m,$$

where the last equality follows from the fact that p does not divide  $n_0^2 - a_0$ , since  $a_0$  is a quadratic non-residue modulo p. On the other hand,

$$\nu_p((n+p^m)^2 - a) = \nu_p(p^{2m}n_0^2 + 2p^{2m}n_0 + p^{2m} - p^{2m}a_0)$$
  
=  $\nu_p(p^{2m}[n_0^2 + 2n_0 + 1 - a_0])$   
=  $\nu_p(p^{2m}[(n_0 + 1)^2 - a_0])$   
=  $2m.$ 

This gives (5.4).

Case 3: Finally, suppose that  $n = p^{\beta} n_0$  with  $\beta, n_0 \in \mathbb{Z}$  and  $\beta > m$ . It is easy to see that  $\nu_p(n^2 - a) = 2m$ . Then

$$(n+p^m) - a = n^2 + 2p^m n + p^{2m} - p^{2m} a_0 = p^{2\beta} n_0^2 + 2p^{m+\beta} n_0 + p^{2m} - p^{2m} a_0 = p^{2m} (p^{2\beta-2m} n_0^2 + 2p^{\beta-m} + (1-a_0)).$$

Now  $1 - a_0 \not\equiv 0 \mod p$  since  $a_0$  is a quadratic non-residue. Therefore p does not divide  $1 - a_0$  and (5.4) follows.

The conclusion is that  $\nu_p((n+p^{\lceil k/2\rceil})^2-a) = \nu_p(n^2-a)$  for every  $n \in \mathbb{N}$ . Therefore, the period is a divisor of  $p^{\lceil k/2 \rceil}$ . The period cannot be smaller, since for n = 0

$$\nu_p((n+p^i)^2-a) = \nu_p(p^{2i}-a) = 2i \neq k = \nu_p(-a) = \nu_p(n^2-a).$$
completes the proof.

This completes the proof.

## 6. The set $V_p(Q)$ for a general irreducible monic polynomial

This section extends the results described in the last two sections to the set

(6.1) 
$$V_p(Q) = \{\nu_p(Q(n)) : n \in \mathbb{N}\}$$

where p is a prime and Q is a monic polynomial, irreducible over the ring of *p*-adic integers  $\mathbb{Z}_p$ .

The main result is described next. Bell [5] showed that  $V_p(Q)$  is periodic, the next theorem provides the exact period.

**Theorem 6.1.** Let  $Q \in \mathbb{Z}[x]$  be a monic polynomial of degree  $d \geq 2$ , irreducible over  $\mathbb{Z}_p$ . Let  $\alpha \geq 1$  be the smallest non-negative integer such that  $Q(x) \equiv 0 \mod p^{\alpha}$  has no solutions. Then  $V_p(Q)$  is periodic of period length  $p\left\lceil \frac{\alpha-1}{d} \right\rceil$ .

The proof of Theorem 6.1 is based on an expression for the valuation  $\nu_p(Q(n)).$ 

**Theorem 6.2.** Let Q, p and  $\alpha$  as in Theorem 6.1. Let  $n_0 \in \mathbb{Z}$  such that  $Q(n_0) \equiv 0 \mod p^{\alpha-1}$ . Define  $\beta = \left\lfloor \frac{\alpha-1}{d} \right\rfloor$ . Then (6.2)

$$\nu_p(Q(n)) = \begin{cases} d\nu_p(n-n_0) & \text{if} \quad n \not\equiv n_0 \mod p^{\beta}, \\ d\beta & \text{if} \quad n \equiv n_0 \mod p^{\beta} \text{ and } n \not\equiv n_0 \mod p^{\beta+1}, \\ \alpha - 1 & \text{if} \quad n \equiv n_0 \mod p^{\beta+1}. \end{cases}$$

Proof. Write

(6.3) 
$$Q(x) = (x - r_1)(x - r_2) \cdots (x - r_d)$$

over a splitting field for Q. Let  $r = r_1$  and define  $K = \mathbb{Q}_p(r)$ . Then  $K/\mathbb{Q}_p$  is a field extension of degree d and the p-adic absolute value extends to K by

(6.4) 
$$|s|_p = |\operatorname{norm}_{K/\mathbb{Q}_p}(s)|_p^{1/d}.$$

(See [15, Theorem 5.3.5]). The norm of an element  $s \in K$  is given by

(6.5) 
$$\operatorname{norm}_{K/\mathbb{Q}_p} = (-1)^{me} a_0^e$$

where  $x^m + a_{m-1}x^{m-1} + \cdots + a_1x + a_0$  is the minimal polynomial of s over  $\mathbb{Q}_p$  and e is the degree of the extension  $K/\mathbb{Q}_p(s)$ .

For every integer n, the minimal polynomial of n - r is

(6.6) 
$$(x - (n - r_1))(x - (n - r_2)) \cdots (x - (n - r_d))$$

therefore

$$|n-r|_{p} = |(n-r_{1})\cdots(n-r_{d})|_{p}^{1/d}$$
  
=  $|Q(n)|_{p}^{1/d}$   
=  $\left(p^{-\nu_{p}(Q(n))}\right)^{1/d}$ .

This gives

(6.7) 
$$\nu_p(Q(n)) = -d \log_p |n - r|_p$$

(where  $\log_p$  is the real logarithm to base p). Now take any  $n_0 \in \mathbb{Z}$  such that  $Q(n_0) \equiv 0 \mod p^{\alpha-1}$ . Then

$$|n-r|_{p} \leq \max\{|n-n_{0}|_{p}, |n_{0}-r|_{p}\} \\ = \max\left(|n-n_{0}|_{p}, p^{-\nu_{p}(Q(n_{0}))/d}\right) \\ = \max\left(|n-n_{0}|_{p}, p^{-(\alpha-1)/d}\right)$$

with equality if  $|n - n_0|_p \neq p^{-(\alpha-1)/d}$ . The computation of  $\nu_p(Q(n))$  from (6.7) is divided into three cases (recall  $\beta = \lfloor \frac{\alpha-1}{d} \rfloor$ ):

Case 1. If  $n \not\equiv n_0 \mod p^{\beta}$ , then  $\nu_p(n-n_0) < \lfloor \frac{\alpha-1}{d} \rfloor \leq \frac{\alpha-1}{d}$ , and it follows that

(6.8) 
$$|n - n_0|_p = p^{-\nu_p(n - n_0)} > p^{-\frac{\alpha - 1}{d}}.$$

Then  $|n - r|_p = |n - n_0|_p$  and

(6.9) 
$$\nu_p(Q(n)) = -d\log|n-r|_p = d\nu_p(n-n_0).$$

as claimed.

Case 2. On the other hand, if  $n \equiv n_0 \mod p^{\beta+1}$ , then

(6.10) 
$$\nu_p(n-n_0) \ge \left\lfloor \frac{\alpha-1}{d} \right\rfloor + 1 > \frac{\alpha-1}{d},$$

and

(6.11) 
$$|n - n_0|_p = p^{-\nu_p(n - n_0)} < p^{-\frac{\alpha - 1}{d}}.$$

In this case,  $|n - n_0| = p^{-(\alpha - 1)/d}$  and

(6.12) 
$$\nu_p(Q(n)) = -d\log|n - r|_p = \alpha - 1.$$

Case 3. The final case is  $n \equiv n_0 \mod p^{\beta}$  and  $n \not\equiv n_0 \mod p^{\beta+1}$ . Then  $\nu_p(n-n_0) = \beta$  and therefore

(6.13) 
$$|n - n_0|_p = p^{-\nu_p(n - n_0)} < p^{-\frac{\alpha - 1}{d}}.$$

If  $(\alpha - 1)/d$  is not an integer, this implies  $|n - n_0|_p > p^{-(\alpha - 1)/d}$ , so that  $|n - r|_p = |n - n_0|_p$  and

(6.14) 
$$\nu_p(Q(n)) = -d\log_p |n-r|_p = d\nu_p(n-n_0) = d\beta.$$

On the other hand, if  $(\alpha - 1)/d$  is an integer,  $|n - n_0|_p = p^{-(\alpha - 1)/d}$ . Then  $|n - r|_p \le p^{-(\alpha - 1)/d}$  and

(6.15) 
$$\nu_p(Q(n)) = -d\log_p |n - r|_p \ge \alpha - 1.$$

Since  $\nu_p(Q(n)) \leq \alpha - 1$  holds for all  $n \in \mathbb{Z}$ , it follows that  $\nu_p(Q(n)) = \alpha - 1$ , as claimed.

The proof of Theorem 6.1 is presented next.

Take  $n_0 \in \mathbb{Z}$  with  $Q(n_0) \equiv 0 \mod p^{\alpha-1}$  and recall  $\beta = \lfloor \frac{\alpha-1}{d} \rfloor$ . Assume first that  $\frac{\alpha-1}{d} \notin \mathbb{Z}$ . Theorem 6.2 shows that  $\nu_p(Q(n))$  depends only on the residue of n modulo  $p^{\beta+1}$ . Therefore the period length of  $V_p(Q)$  is at most  $p^{\beta+1}$ . Since  $(\alpha - 1)/d$  is not an integer and

(6.16) 
$$\nu_p\left(Q\left(n_0+p^\beta\right)\right) = d\beta \neq \alpha - 1 = \nu_p(Q)(n_0),$$

the period length is not  $p^{\beta}$ . In the case  $\frac{\alpha-1}{d} \in \mathbb{Z}$  (equal to  $\beta$ ), Theorem 6.2 gives

(6.17) 
$$\nu_p(Q(n)) = \begin{cases} d\nu_p(n-n_0) & \text{if } n \not\equiv n_0 \mod p^\beta \\ \alpha - 1 & \text{if } n \equiv n_0 \mod p^\beta. \end{cases}$$

It follows that the period length of  $V_p(Q)$  is at most  $p^{\beta}$ . This is exactly the period length, since

$$\nu_p\left(Q\left(n_0 + p^{\beta - 1}\right)\right) = d\nu_p\left(p^{\beta - 1}\right) = d(\beta - 1) \neq \alpha - 1 = \nu_p(Q(n_0)).$$

The expression for the period is easily seen to agree with the statement of Theorem 6.1. The proof is complete.

### 7. EXTENSION TO THE NON-MONIC SITUATION

This section discusses the set  $V_p(Q)$  for a general polynomial

(7.1) 
$$Q(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$$

with coefficients in  $\mathbb{Z}_p$ .

**Example 7.1.** Let  $Q(x) = a_1x + a_0$  with  $a_k \in \mathbb{Z}_p$ . If  $a_1 \in \mathbb{Z}_p^{\times}$ , write  $Q(x) = a_1Q_1(x)$  with  $Q_1(x) = x + b_0$  and  $b_0 = a_1^{-1}a_0 \in \mathbb{Z}_p$ . Then

(7.2) 
$$\nu_p(Q(n)) = \nu_p(a_1) + \nu_p(Q_1(n)) = \nu_p(Q_1(n)).$$

The situation has been reduced to the monic case.

The previous example extends to polynomials of higher degree in a natural way.

**Proposition 7.1.** Let  $Q(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$  be a polynomial in  $\mathbb{Z}_p[x]$ . Assume  $a_n \in \mathbb{Z}_p^{\times}$ . Then  $Q(x) = a_n Q_1(x)$  with  $Q_1 \in \mathbb{Z}_p[x]$  monic and  $V_p(Q) = V_p(Q_1)$ .

**Example 7.2.** Let  $Q(x) = a_2x^2 + a_1x + a_0$  with  $a_k \in \mathbb{Z}_p$ . If p does not divide  $a_2$ , then  $a_2$  is invertible and  $V_p(Q)$  can be reduced to the monic case as explained above. Assume now that p divides  $a_2$ . The discussion is divided into three cases. It may be assumed that one of the coefficients  $a_k$  is not divisible by p. Otherwise, factoring the highest power of p appearing among the coefficients, produces a shift in the set  $V_p(Q)$ .

Case 1. Suppose p divides  $a_1$  but not  $a_0$ . Then p does not divide Q(n) and  $V_p(Q) = \{0\}.$ 

Case 2. Assume p divides  $a_0$  but not  $a_1$ . Then

(7.3) 
$$Q(0) \equiv 0 \mod p \text{ and } Q'(0) \equiv a_1 \not\equiv 0 \mod p$$

Hensel's lemma implies the existence of  $\alpha \in \mathbb{Z}_p$  such that  $Q(\alpha) = 0$ . Thus Q is reducible. Since  $\deg(Q) = 2$ , this implies  $V_p(Q)$  is unbounded.

Case 3. Assume p does not divide either  $a_1$  nor  $a_0$ . Define

(7.4) 
$$g(x) = x^2 Q(1/x) = a_0 x^2 + a_1 x + a_2$$

and observe that  $g(x) \equiv x(a_0x + a_1) \mod p$ . Hensel's lemma implies the existence of polynomials  $g_1, g_2 \in \mathbb{Z}_p[x]$  with  $\deg(g_1) = \deg(g_2) = 1$  and  $g(x) = g_1(x)g_2(x)$ . Then  $Q(x) = \hat{g}_1(x)\hat{g}_2(x)$ , with  $\hat{g}_k(x) = xg_k(1/x)$ . This implies that Q is reducible and, as in Case 2,  $V_p(Q)$  is unbounded.

**Theorem 7.2.** Let  $Q(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$  be a polynomial in  $\mathbb{Z}_p[x]$  with  $a_n \equiv 0 \mod p$ . Then the analysis of  $V_p(Q)$  can be reduced to the monic case.

10

*Proof.* Assume first that there is an index k such that p does not divide  $a_k$ . Otherwise factoring the maximal power of p produces a shift in  $V_p(Q)$ . The discussion is divided into two cases.

Case 1. Suppose  $p|a_k$  for  $k = 1, 2, \dots, n$ , but p does not divide  $a_0$ . Then  $\nu_p(Q(n)) = 0$  and  $V_p(Q) = \{0\}$ .

Case 2. Let 0 < k < n be the largest index such that p does not divide  $a_k$ . Define

(7.5) 
$$g(x) = x^n Q(1/x) = a_0 x^n + a_1 x^{n-1} + \dots + a_{n-1} x + a_n$$

then

(7.6) 
$$g(x) \equiv x^{n-k} \left( a_0 x^k + a_1 x^{k-1} + \dots + a_k \right) \mod p.$$

Hensel's lemma implies the existence of  $q_1, q_2 \in \mathbb{Z}_p[x]$  with  $\deg(q_1) = k$  and  $\deg(q_2) = n - k$  and  $g(x) = g_1(x)g_2(x)$ . This shows that Q(x) is reducible. The result now follows by induction on the degree of Q. The initial cases  $\deg(Q) = 1, 2$  have been discussed above.

**Example 7.3.** Consider  $Q(x) = 6x^5 + x^4 + 3x^3 + x^2 + 1 \in \mathbb{Z}_3[x]$ . Let  $g(x) = x^5Q(1/x) = x^5+x^3+3x^2+x+6$ . Then  $g(x) \equiv x(x+1)^2(x+2)^2 \mod 3$ . Hensel Lemma produces polynomials

$$q_{1}(x) = x + \gamma_{0}$$

$$q_{2}(x) = x^{2} + \beta_{1}x + \beta_{0}$$

$$q_{3}(x) = x^{2} + \lambda_{1}x + \lambda_{0}$$

with  $\gamma_0, \beta_0, \beta_1, \lambda_0, \lambda_1 \in \mathbb{Z}_p$  such that  $g(x) = q_1(x)q_2(x)q_3(x)$ . In fact, the first few iterations of Hensel Lemma yields (with p = 3):

$$\begin{aligned} \gamma_0 &= 2p + 2p^3 + p^4 + 2p^5 + 2p^6 + 2p^7 + p^8 + p^9 + p^{10} + \cdots \\ \beta_0 &= 1 + 2p + p^2 + p^6 + 2p^7 + 2p^8 + p^{10} + \cdots \\ \beta_1 &= 2 + p + 2p^2 + p^5 + 2p^6 + p^7 + p^8 + 2p^9 + \cdots \\ \lambda_0 &= 1 + p + p^2 + 2p^3 + p^7 + p^{10} + \cdots \\ \lambda_1 &= 1 + 2p + 2p^2 + 2p^3 + 2p^5 + p^7 + 2p^8 + p^9 + \cdots . \end{aligned}$$

Therefore,

$$Q(x) = (\gamma_0 x + 1)(\beta_0 x^2 + \beta_1 x + 1)(\lambda_0 x^2 + \lambda_1 x + 1) = \hat{q}_1(x)\hat{q}_2(x)\hat{q}_3(x).$$

Since  $p|\gamma_0$ , it follows that  $\nu_3(\hat{q}_1(n)) = 0$  for all  $n \in \mathbb{Z}$ . After multiplication by  $\beta_0^{-1}$ , Theorem 6.1 implies that  $V_3(\hat{q}_2)$  is periodic with fundamental period  $\{0, 0, 1\}$ . Similarly,  $V_3(\hat{q}_3)$  is periodic with fundamental period is  $\{0, 1, 0\}$ . The conclusion is that  $V_3(Q)$  is periodic with period length 3 and fundamental period  $\{0, 1, 1\}$ .

#### 8. A COLLECTION OF EXAMPLES

This final section presents some examples that illustrate the results given here. The analysis of the examples often requires to check the irreducibility of the underlying polynomial.

The first collection of examples deals with quadratic polynomials. Theorem 2.1 states that  $V_p(Q)$  is periodic if and only if Q has no zeros in  $\mathbb{Z}_p$ . For polynomials of degree 2 or 3 the non-existence of zeros is equivalent to the irreducibility of Q. In particular, if  $Q(x) = x^2 - a$ , then writing  $a = 4^{\mu(a)}c$ , with  $c \neq 0 \mod 4$ , it was shown that Q is irreducible (equivalently  $V_2(Q)$  is periodic) if and only if  $c \neq 1 \mod 8$ .

**Example 8.1.** Let  $Q(x) = x^2 - 1$ . Then Q(1) = 0 and  $1 \in \mathbb{Z}_2$ , so  $V_2(Q)$  is unbounded. The complete set  $V_2(Q)$  is easy to determine. For  $n \in \mathbb{Z}$  even,  $\nu_2(n^2 - 1) = 0$ . Therefore  $0 \in V_2(Q)$ . For n odd, written as  $n = 2^{\alpha}t + 1$  with  $\alpha \geq 1$  and t odd, the identity

(8.1) 
$$(2^{\alpha}t+1)^2 - 1 = 2^{\alpha+1}t \left(2^{\alpha-1}t+1\right)$$

shows that  $\nu_2(n^2-1) = \alpha + 1$  for  $\alpha > 1$ . Therefore  $\{3, 4, 5, \dots\} \subset V_2(Q)$ . In the case  $\alpha = 1$ , the identity  $n^2 - 1 = 2^2 t(t+1)$ , implies  $\nu_2(n^2-1) \ge 3$ . Therefore

(8.2) 
$$V_2(Q) = \{0, 3, 4, 5, \cdots \}$$

**Example 8.2.** Let  $Q(x) = x^2 - 2$ . Eisenstein's criteria shows that Q is irreducible over  $\mathbb{Z}_2$  and Theorem 4.2 shows that  $V_2(Q)$  is periodic. The congruence  $Q(x) \equiv 0 \mod 2$  has solutions but  $Q(x) \equiv 0 \mod 2^2$  does not. Therefore  $\alpha = 2$  and Theorem 6.1 shows that  $V_2(Q)$  has period 2. Indeed, the fundamental period for  $V_2(Q)$  is  $\{0, 1\}$ .

**Example 8.3.** The polynomial  $Q(x) = x^2 - 32$  has  $V_2(Q)$  periodic of period 8. The basic period is  $\{0, 2, 0, 4, 0, 2, 0, 5, 0, 2\}$  and

(8.3) 
$$\nu_2(n^2 - 32) = \begin{cases} 0 & \text{if } n \equiv 1 \mod 2\\ 2 & \text{if } n \equiv 2 \mod 4\\ 4 & \text{if } n \equiv 4 \mod 8\\ 5 & \text{if } n \equiv 5 \mod 8 \end{cases}$$

**Example 8.4.** Using the notation in (4.1) observe that  $68 = 4 \cdot 17$  and  $17 \equiv 1 \mod 8$ . Then  $Q(x) = x^2 - 68$  is reducible over  $\mathbb{Z}_2$  and  $V_2(Q)$  is unbounded. The first few values of this sequence are given by

$$(8.4) \qquad \{2, 0, 6, 0, 2, 0, 5, 0, 2, 0, 5, 0, 2, 0, 7\}.$$

The reader might check that  $V_2(Q)$  includes 0, 2 and every integer above 5.

The next example consider a quadratic polynomial and the prime p = 3.

**Example 8.5.** Let  $Q(x) = x^2 - 405$ . From  $405 = 3^4 \cdot 5$  it follows that  $k = \nu_3(405) = 4$  is even. Moreover  $405/3^4 = 5$  and 5 is a quadratic non-residue modulo 3. Theorem 5.1 shows that  $V_3(Q)$  is periodic with period 9. The fundamental period of this sequence is  $\{4, 0, 0, 2, 0, 0, 2, 0, 0\}$ .

The next series of examples involve polynomials of degree at least 3.

**Example 8.6.** Take  $Q(x) = x^3 + 9x^2 + 81x + 243$ . Dumas's criterion shows that Q(x) is irreducible over  $\mathbb{Z}_3$ . A direct calculation yields  $\alpha = 6$ , i.e.  $Q(x) \equiv 0 \mod 3^5$  has solutions, but  $Q(x) \equiv 0 \mod 3^6$  does not. Theorem 6.1 implies that  $V_3(Q)$  is periodic with period length 9. The reader can verify that the fundamental period is given by  $\{5, 0, 0, 3, 0, 0, 3, 0, 0\}$ .

The explicit 3-adic valuation of Q(n) for  $n \in \mathbb{Z}$  is provided by Theorem 6.2. In this case,  $\beta = 1$  and choosing  $n_0 = 0$  gives

$$\nu_3(Q(n)) = \begin{cases} 0 & \text{if } n \not\equiv 0 \mod 3\\ 3 & \text{if } n \equiv 3, 6 \mod 9\\ 5 & \text{if } n \equiv 0 \mod 9. \end{cases}$$

**Example 8.7.** Now take  $Q(x) = x^3 + 619x^2 + 13137x + 49367$ . Apply Dumas's criterion to Q(x+2) to conclude that Q(x) is irreducible over  $\mathbb{Z}_5$ . In this case  $\alpha = 8$  and Theorem 6.1 shows  $V_5(Q)$  is periodic with period length 125.

The explicit 5-adic valuation of Q(n) for  $n \in \mathbb{Z}$  is provided by Theorem 6.2. In this case  $\beta = 2$  and  $n_0$  can be chosen to be 2. Thus,

$$\nu_5(Q(n)) = \begin{cases} 3\nu_5(n-2) & \text{if } n \not\equiv 2 \mod 25\\ 6 & \text{if } n \equiv 2 \mod 25 \text{ and } n \not\equiv 2 \mod 125\\ 7 & \text{if } n \equiv 2 \mod 125. \end{cases}$$

The valuation  $\nu_5(n-2)$ , for  $n \not\equiv 2 \mod 25$ , is now made explicit to produce

$$\nu_5(Q(n)) = \begin{cases} 0 & \text{if } n \not\equiv 2 \mod 5 \\ 3 & \text{if } n \equiv 7, 12, 17, 22 \mod 25 \\ 6 & \text{if } n \equiv 27, 52, 77, 102 \mod 125 \\ 7 & \text{if } n \equiv 2 \mod 125. \end{cases}$$

The last example offers an interesting twist, using the periodicity of  $V_p(Q)$  to determine the irreducibility of the polynomial Q.

**Example 8.8.** Take  $Q(x) = x^4 + x^3 + x^2 + 3x + 3 \in \mathbb{Z}_3[x]$  and check  $\alpha = 4$ . It is claimed that Q is reducible over  $\mathbb{Z}_3[x]$ . Otherwise Theorem 6.1 shows that  $V_3(Q)$  is periodic of period 3. But  $V_3(Q) = \{1, 2, 0, 1, 3, 0, \dots\}$  does not have period 3 and Q is reducible. Now  $Q(x) \equiv x^2(x+2)^2 \mod 3$  and Hensel's lemma implies that Q factors in the form

(8.5) 
$$Q(x) = (x^2 + \gamma_1 x + \gamma_0)(x^2 + \beta_1 x + \beta_0)$$

with  $\gamma_j$ ,  $\beta_j \in \mathbb{Z}_3$ . The polynomials are chosen so that (8.6)  $x^2 + \gamma_1 x + \gamma_2 \equiv x^2 \mod 3$  and  $x^2 + \beta_1 x + \beta_2 \equiv x^2 + x + 1 \mod 3$ . A direct application of Hensel's lemma gives the expansions

$$\begin{aligned} \gamma_0 &= p + p^2 + p^3 + 2p^4 + 2p' + 2p^9 + \cdots \\ \gamma_1 &= 2p^2 + 2p^3 + p^4 + p^7 + 2p^8 + \cdots \\ \beta_0 &= 1 + 2p + 2p^2 + p^3 + 2p^4 + p^5 + p^6 + p^7 + \cdots \\ \beta_1 &= 1 + p^2 + p^4 + 2p^5 + 2p^6 + p^7 + 2p^9 + \cdots , \end{aligned}$$

with p = 3. The reader can now check that  $V_3(Q_1)$  has period 3 and  $V_3(Q_2)$  has period 9. It follows that  $V_3(Q)$  is periodic is period 9 with fundamental period is  $\{1, 2, 0, 1, 3, 0, 1, 2, 0\}$ .

**Example 8.9.** Take p = 3 and  $Q(x) = 27x^5 + x^4 + 2x^3 + x^2 + 3x + 9 \in \mathbb{Z}_3[x]$ . Then  $Q(x) \equiv x^2(x^2 + 2x + 1) \mod 3$ . Hensel Lemma implies the existence of polynomials  $Q_1(x), Q_2(x) \in \mathbb{Z}_3[x]$  with  $\deg(Q_1) = 2$  and  $\deg(Q_2) = 3$  such that  $Q(x) = Q_1(x)Q_2(x)$ . Indeed, the first iterations of Hensel's Lemma produce  $Q_1(x) = x^2 + \gamma_1 x + \gamma_0$  and  $Q_2(x) = 27x^3 + \beta_2 x^2 + \beta_1 x + \beta_0$  where

$$\begin{aligned} \gamma_0 &= p^2 + 2p^3 + p^4 + 2p^5 + p^7 + p^9 + \cdots \\ \gamma_1 &= p + p^4 + 2p^5 + 2p^6 + p^7 + 2p^8 + p^9 + \cdots \\ \beta_0 &= 1 + p + 2p^2 + 2p^4 + 2p^6 + p^7 + 2p^8 + 2p^9 + \cdots \\ \beta_1 &= 2 + 2p + 2p^2 + 2p^3 + p^4 + p^6 + 2p^7 + 2p^8 + p^9 + \cdots \\ \beta_2 &= 1 + 2p^4 + 2p^5 + 2p^6 + p^7 + \cdots , \end{aligned}$$

with p = 3.

Theorem 6.1 implies that  $V_3(Q_1)$  is periodic with period length 9. Define now  $\hat{Q}_2(x) = x^3 Q_2(1/x)$  and observe that  $\hat{Q}_2(x) \equiv x(1+x)^2 \mod 3$ . Hensel's lemma now gives polynomials  $\hat{q}_1(x), \hat{q}_2(x) \in \mathbb{Z}_3[x]$  such that  $\hat{Q}_2(x) = \hat{q}_1(x) \hat{q}_2(x)$  with  $\deg(\hat{q}_1) = 1$ ,  $\deg(\hat{q}_2) = 2$ . An approximation of  $\hat{q}_1(x) = x + \lambda_0$  and  $\hat{q}_2(x) = \omega_2 x^2 + \omega_1 x + \omega_0$  is

$$\lambda_0 = p^3 + 2p^6 + p^9 + \cdots$$
  

$$\omega_0 = 1 + p^3 + 2p^4 + 2p^5 + 2p^6 + p^7 + 2p^8 + p^9 + \cdots$$
  

$$\omega_1 = 2 + 2p + 2p^2 + p^3 + p^5 + p^6 + \cdots$$
  

$$\omega_2 = 1 + p + 2p^2 + 2p^4 + 2p^6 + p^7 + 2p^8 + 2p^9 + \cdots$$

Then  $Q_2(x) = x^3 \hat{Q}_2(1/x) = (\lambda_0 x + 1)(\omega_0 x^2 + \omega_1 x + \omega_2) = q_1(x)q_2(x)$ . Observe that  $\nu_3(q_1(n)) = 0$  for all  $n \in \mathbb{Z}$ . Theorem 6.1 gives that  $V_3(q_2)$  is periodic with period length 3. The conclusion is that  $V_3(Q)$  is periodic with period 9 and fundamental period  $\{2, 0, 1, 4, 0, 1, 2, 0, 1\}$ .

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